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15 Attorneys for Defendants Robinhood

16 Financial LLC, Robinhood Securities,

LLC, and Robinhood Markets, Inc.

17 **UNITED STATES DISTRICT COURT**
18 **NORTHERN DISTRICT OF CALIFORNIA**
19 **OAKLAND DIVISION**

20 ROBERT DAYS,

21 Plaintiff,

22 vs.

23 ROBINHOOD MARKETS, INC., a
24 Delaware corporation; ROBINHOOD
25 FINANCIAL LLC, a Delaware limited
26 liability company; ROBINHOOD
27 SECURITIES, LLC, a Delaware limited
liability company;

28 Defendants.

Case No.: 4:21-cv-00696-YGR

**DEFENDANTS'
CERTIFICATION OF
INTERESTED ENTITIES**

1 Defendants Robinhood Markets, Inc.; Robinhood Financial LLC; and
2 Robinhood Securities, LLC, by their attorneys, Farella Braun + Martel LLP and
3 Cravath, Swaine & Moore LLP, pursuant to Federal Rule of Civil Procedure 7.1,
4 disclose as follows:

- 5 1. Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood
6 Securities, LLC are privately held companies.
- 7 2. Robinhood Markets, Inc. does not have a parent corporation.
- 8 3. Robinhood Financial LLC and Robinhood Securities, LLC are wholly-
9 owned subsidiaries of Robinhood Markets, Inc.
- 10 4. No publicly held corporation owns 10% or more of the stock of Robinhood
11 Markets, Inc.; Robinhood Financial LLC; or Robinhood Securities, LLC.

12 Pursuant to Civil L.R. 3-15, the undersigned certifies that, in addition to the
13 parties in this action, the following listed persons, associations of persons, firms,
14 partnerships, corporations (including parent corporations) or other entities have a
15 financial or other interest in the subject matter or in a party that could be substantially
16 affected by the outcome of this proceeding:

- 17 1. Baiju Bhatt
- 18 2. Vladimir Tenev
- 19 3. Index Ventures

20
21 Dated: February 23, 2021

FARELLA BRAUN + MARTEL LLP

22 By:
23

24 C. Brandon Wisoff

25 *C. Brandon Wisoff*
26 *Counsel for Robinhood Financial LLC,*
27 *Robinhood Securities, LLC, and*
28 *Robinhood Markets, Inc.*